

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Timothy R. Ryan et al.

Examiner: William H. Matthews

Serial No.: 09/982,299

Group Art Unit: 3738

Filed: October 16, 2001

Docket No.: M190.137.101

Title: ANNULOPLASTY BAND AND METHOD

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached form 1449 be considered by the Examiner and made of record. The cited references are enclosed for the Examiner's review. Pursuant to the provisions of M.P.E.P. 609, Applicants further request a copy of the 1449 form, marked as being considered and initialled by the Examiner, be returned with the next Official Communication.

Since this Supplemental Information Disclosure Statement is being concurrently submitted with a Request for Continued Examination and thus before the mailing of the first Office Action after the filing of the Request for Continued Examination, a fee has not been enclosed. However, if such fee is required, the Patent Office is hereby authorized to charge Deposit Account No. 500471 for fees as set forth under 37 C.F.R. 1.17(p).

Applicants respectfully request consideration of these references during prosecution of the above-identified matter. The Examiner is invited to contact the Applicants' representative at

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the below-listed telephone number if there are any questions regarding this Communication or the tendered references.

Respectfully submitted,

Timothy R. Ryan et al.,

By their attorneys,

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Dated: MAY 9, 2005

TAC:jmc

  
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Timothy A. Czaja

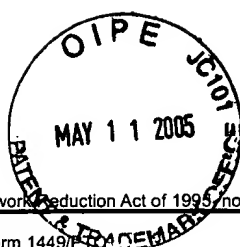
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**CERTIFICATE UNDER 37 C.F.R. 1.8:**

The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, as first class mail, in an envelope, address to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 9th day of May, 2005

By 

Name: Timothy A. Czaja



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**Complete if Known****INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 2

Application Number	09/982,299
Filing Date	October 16, 2001
First Named Inventor	Timothy R. Ryan
Art Unit	3738
Examiner Name	William H. Matthews
Attorney Docket Number	M190.137.101

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,565,603	05-20-2003	Cox	
		US- 6,102,945	08-15-2000	Campbell	
		US- 5,824,066	10-20-1998	Gross	
		US- 5,860,992	01-19-1999	Daniel et al.	
		US- 6,602,289	08-05-2003	Colvin et al.	
		US- 6,159,240	12-12-2000	Sparer et al.	
		US- 2003/0125715 A1	07-03-2003	Kuehn et al.	
		US- 2003/0045929 A1	03-06-2003	McCarthy et al.	
		US- 4,164,046	08-14-1979	Cooley	
		US- 4,182,446	01-08-1980	Penny	
		US- 5,376,112	12-27-1994	Duran	
		US- 5,258,021	11-02-1993	Duran	
		US- 5,593,424	01-14-1997	Northrup III	
		US- 5,601,576	02-11-1997	Garrison	
		US- 5,972,030	10-26-1999	Garrison et al.	
		US- 3,966,401	06-29-1976	Hancock et al.	
		US- 4,050,893	09-27-1977	Hancock et al.	
		US- 5,290,300	03-01-1994	Cosgrove et al.	
		US- 5,496,336	03-05-1996	Cosgrove et al.	

**FOREIGN PATENT DOCUMENTS**

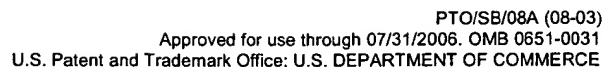
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 00/74603	12-14-2000	PCT		
		0 257 874	02-03-1988	EPO		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet	2	of	2
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## U. S. PATENT DOCUMENTS

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